

# Focus 1 Associates Joins BasisCode Advisory Council

MEDFORD, Ore. and ATLANTA, Aug. 6, 2018 /PRNewswire/ -- [Focus 1 Associates LLC](#) (Focus 1), specializing in assisting registered investment advisers with their compliance needs, has joined the BasisCode Advisory Council.

BasisCode Compliance LLC ([www.basiscode.com](http://www.basiscode.com)) is a leading provider of regulatory compliance software solutions. The advisory council consists of leading compliance consulting firms that provide input for the continuing development of BasisCode's award-winning Compliance Consultant Manager software platform.

The BasisCode Advisory Council offers independent guidance and industry insights reflecting ongoing changes in the regulatory environment as well as feedback from investment advisers. The council's input ensures BasisCode will continue to serve the evolving needs of industry consultants and their clients.

Headquartered in Medford, Oregon, Focus 1 has offices in or near Newport Beach and Pasadena, Calif.; Tampa Bay, Fla.; and Derry, N.H. The company's clients include institutional wealth advisers, family offices and advisers to private funds. It offers a complete suite of compliance services from consulting, assistance with their annual compliance review and mock SEC examinations to outsourced chief compliance officers (CCO).

Focus 1 implemented the BasisCode Compliance software platform at the beginning of the year to improve compliance management for its U.S. and non-U.S. client base. It is joining the advisory council following a recent purchase of key assets from Advisor Solutions Group, Inc., a Newport Beach, Calif. based regulatory compliance consulting firm.

"As we look to build and increase our presence, we remain dedicated to delivering practical, solutions-oriented assistance to our clients," said Tobin Cochran, managing member and president of Focus 1 Associates. "Combining the experience and judgement of the BasisCode Advisory Council and with time-tested technology will allow us to better serve and educate our clients."

Joseph Stowell, who leads Focus 1's outsourced CCO division said, "by participating in the BasisCode Advisory Council, I hope to leverage the council's expertise while providing 'hands-on' insights on how technology can address our clients' compliance needs and provide advice and perspective that is experienced, practical and timely. I'm looking forward to working with BasisCode and this core group of industry peers."

"The mission of the BasisCode Advisory Council is to better serve our consultant partners and their clients," said Carlos Guillen, BasisCode Compliance president and CEO. "Adding Focus 1's expertise to the council as well as their insights into improving our platform, will further support that mission and better prepare compliance and regulatory consultants with the tools they'll need to handle constantly changing regulatory challenges."

## About Focus 1 Associates LLC

Focus 1 Associates is a premier provider of SEC compliance services, assisting registered investment advisers with their specific compliance needs under Rule 206(4)-7 of the Investment Advisers Act. The firm specializes in the areas of mock SEC examinations, compliance program development, and annual compliance reviews. As part of its services, Focus 1 Associates provides unlimited consulting on the rules and regulations and industry best practice, educational webinars and industry alerts, as well as compliance templates and sample documents. The firm's experienced professionals deliver practical, solutions-oriented assistance, and each service is customizable and tailored to each firm's compliance needs. For more information, visit [www.focus1associates.com](http://www.focus1associates.com).

## About BasisCode

BasisCode Compliance LLC ([www.basiscode.com](http://www.basiscode.com)), headquartered in Atlanta and founded in 2011, is a leading provider of regulatory compliance software solutions which help manage the risk lifecycle from identification to resolution. From core compliance to personal trading and insider trading, each BasisCode software solution is available as a stand-alone product or offered as part of an integrated package. The company's hallmark, audit-ready capability is available in all BasisCode solutions and helps firms maintain a culture of compliance.

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SOURCE BasisCode Compliance LLC

For further information: Feintuch Communications, Rick Anderson, 718-986-1596; Cara Johnson, 212-808-4904;

