

GAIN Capital's GTX Receives Swap Execution Facility (SEF) Approval

BEDMINSTER, N.J., April 22, 2014 /PRNewswire/ -- GAIN Capital announced today that its affiliate, GTX SEF LLC, has received approval for a temporary registration from the US Commodity Futures Trading Commission (CFTC) to operate a swap execution facility (SEF).



GTX SEF, LLC will provide a venue for trading that complies with the Dodd-Frank Act. Customers will be able to trade non-deliverable forwards ("NDFs") that are required to be traded on a SEF and will be subject to mandatory clearing at some time in the future. GTX SEF intends to expand its product offering in the future to coincide with the mandatory clearing deadlines established by the CFTC. Additionally, customers will be able to trade FX spot, forwards, options and precious metals through GTX SEF's affiliate, Gain GTX, LLC, a registered swap dealer.

"GTX is seeing significant market share expansion as more and more institutions realize the value of our balanced portfolio of liquidity, quality executions, real-time data analytics and talented professionals," said Glenn Stevens, CEO of Gain Capital. "With the approval of our SEF, we are now able to provide clients with regulatory certainty, choice and flexibility in their method of execution within the new market structure created by Dodd-Frank. We believe our innovative technology will become the backbone of a leading SEF model in FX trading."

About GTX

GTX operates an innovative venue for institutional FX trading. Clients of GTX include banks, brokers, hedge funds, CTAs, asset managers and active traders. Market participants globally leverage an array of electronic and voice solutions including the GTX ECN; multi-lateral credit and matching engines; central clearing facility; and the firm's agency voice brokers who assist clients with complex liquidity sourcing and trade executions. Based in New York, GTX also has offices in London and Singapore. GTX is owned and operated by GAIN GTX, LLC, a wholly owned subsidiary of GAIN Capital Holdings, Inc.

For GTX information, visit <http://www.gaingtx.com>

About GAIN Capital

GAIN Capital Holdings, Inc. (NYSE: [GCAP](#)) provides innovative trading technology and execution services to retail and institutional investors worldwide, with multiple access points to OTC markets and global exchanges across a wide range of asset classes, including foreign exchange, commodities, and global equities.

GAIN Capital is headquartered in Bedminster, New Jersey, with a global presence across North America, Europe and the Asia Pacific regions. For further company information, visit <http://www.gaincapital.com>.

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