BasisCode Introduces New Management Efficiency Tools for Compliance Consulting Firms

Managerial Compliance Dashboard Provides Comprehensive, "At-a-Glance" Status Information on Clients and Consultant Employees, Enhancing Program Execution

ATLANTA, October 12, 2017 – BasisCode Compliance LLC (www.basiscode.com), a leading provider of regulatory compliance software solutions, is introducing a comprehensive managerial dashboard for compliance consulting firms which allows these fast-growing firms to better monitor and manage the high-level information activity and tasks for their clients and consultant employees.

The new consultant dashboard is set to be unveiled at the <u>2017 National Society of Compliance Professionals National Conference</u> in Washington, D.C., from October 16 to 18. BasisCode Compliance is a Gold Sponsor of the conference and is exhibiting in booth #25.

BasisCode Compliance's new managerial dashboard offers a "one-look" comprehensive summary of the status of all compliance tests, forms, certifications and reviews for clients and for consultant employees providing management with a superior tool for more timely and effective program management.

Many of the leading U.S. compliance consulting firms use BasisCode Compliance's technology platform for their expanding client bases and the growing number of compliance consultants serving them. The BasisCode Compliance software simplifies and centralizes compliance management, ranging from compliance testing and risk assessment to trading surveillance, insider trading and staff certifications. The platform provides robust reporting features and an easy-to-use portal for seamless interaction with employees and advisors.

The new managerial compliance dashboard was developed in response to feedback provided by the firm's advisory council of compliance consulting firms which includes Alaric Compliance Services, Cipperman Compliance Services, Hardin Compliance Consulting and SEC Compliance Consultants.

"We presented BasisCode with the challenges we face in trying to quickly and easily track the myriad of compliance tasks underway for our clients and our consultant employees," said Jill Grenda, managing director, Hardin Compliance Consulting (www.hardincompliance.com). "They listened and worked closely with us to design a comprehensive dashboard that allowed us to see and monitor at one glance the status of all the tests, certifications, reports and other key tasks that are underway at any given time. We now have a management tool that will increase our efficiency and productivity but also enhance our overall client relationships."

"BasisCode has become the preferred provider of compliance software for the leading compliance consulting firms," said Carlos Guillen, BasisCode Compliance president and CEO. "We are committed to providing our consulting partners and their staffs with the best management tools that maximize efficiency, productivity and control. Our new managerial dashboard delivers on all these fronts."

Jon Wowak, chief operating officer at Cipperman Compliance Services (www.cipperman.com), said: "After implementing BasisCode Compliance across our client base for the past year, our firm has achieved the desired efficiencies that are gained within each client environment. The new managerial compliance dashboard will now allow our management team to effectively track the ongoing work for all of our clients in one central place. This will help with resource allocation and give us a look into the status of each client's compliance testing program."

BasisCode Compliance's managerial compliance dashboard is available immediately, at no charge, to the firm's consulting firm clients. For more information about the firm's consultant program and resources, contact Leslie Hatcher, senior sales executive, at leslie.hatcher@basiscode.com or by calling 678-819-1991 ext. 112.

About BasisCode

BasisCode Compliance LLC (www.basiscode.com), headquartered in Atlanta and founded in 2011, is a leading provider of regulatory compliance software solutions which help manage the risk lifecycle from identification to resolution. From core compliance to personal trading and insider trading, each BasisCode software solution is available as a stand-alone product or offered as part of an integrated package. The company's hallmark, audit-ready capability is available in all BasisCode solutions and helps firms maintain a culture of compliance.